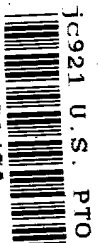


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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.	14538A-005111US
First Inventor	Koff, Andrew
Title	METHODS FOR ENHANCING ANIMAL GROWTH AND CELL PROLIFERATION BY ELIMINATION OF THE CYCLIN-DEPENDENT KINASE INHIBITOR FUNCTION OF P27KIP1
Express Mail Label No.	ET949700515US

PTO
10/03/02
U.S.
10/03/02

01/04/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 56]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C.113) [Total Sheets 15]
5. ☐ Oath or Declaration [Total Pages]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TOAssistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper number of pages
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Application cover page; Cert. of Mailing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)of prior application No: 08/ 973.823Prior application information: Examiner R. ShuklaGroup Art Unit: 1632

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

20350

or ☐ Correspondence address below

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Name					
Address					
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Country	Telephone	Fax			

Name (Print/Type)	Mark G. Sandbaken, Ph.D.	Registration No. (Attorney/Agent)	39,354
Signature		Date	01-04-02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231. SE 5011378 v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR: Andrew Koff
Hiroaki Kiyokawa

ASSIGNEE: Sloan-Kettering Institute for Cancer Research

APPLICATION NO.: n/a GAU: n/a

FILING DATE: January 4, 2002

TITLE: METHODS FOR ENHANCING ANIMAL
GROWTH AND CELL PROLIFERATION BY
ELIMINATION OF THE CYCLIN-
DEPENDENT KINASE INHIBITOR
FUNCTION OF P27^{Kip1}

ATTORNEY DOCKET: 14538A-005111US

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

**CERTIFICATE OF MAILING
(Express Mail)**

Honorable Assistant Commissioner:

I hereby certify that the attached correspondence [U.S. PATENT APPLICATION] is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee," Express Mail Label No. ET949700515US (according to C.F.R. §1.10) on this 4th day of January, 2002 with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington, D.C. 20231.

Susan M. Foley
Signature of registered practitioner or other
person actually making the deposit pursuant
to 37 C.F.R. §1.10(b).

Susan Foley
Name of person signing above